

2653



PTO/SB/21 (03-03)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/894,480
Filing Date	June 27, 2001
First Named Inventor	Zhimin He
Art Unit	2653
Examiner Name	A. Castro
Attorney Docket Number	STL9799

Total Number of Pages in This Submission 5

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
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<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Derek J. Berger, Reg. No. 45,401
Signature	<i>Derek J. Berger</i>
Date	April 11, 2003

CERTIFICATE OF TRANSMISSION/MAILING

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Signature	<i>Zeina Smith</i>	Date	April 11, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Zhimin He et al.		
Serial No.:	09/894,480	Examiner:	A. Castro
Filed:	June 27, 2001	Group Art Unit:	2653
Title:	Flexural Pivot For Rotary Disc Drive Actuator		
Docket:	STL9799		

Assistant Commissioner for Patents
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

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Section 1. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)

Form PTO/SB/08A is attached hereto.

Section 2. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Form PTO/SB/08A accompany this Information Disclosure Statement.

Section 3. Remarks or Additional Statements

- ☐ This Information Disclosure Statement is being filed along with a new patent application.
- ☐ This Information Disclosure Statement is being filed *within* three months of the filing date of the application or date of entry into the national stage of an international application or *before* the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).
- ☒ 37 C.F.R. §1.97(c) This Information Disclosure Statement is being filed *after* three months of the filing date of this national application or the date of entry of the national Stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:

1. a final action under section 1.113 or
 2. a notice of allowance under section 1.311
- whichever occurs first.

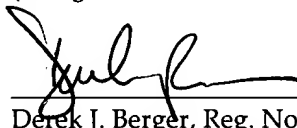
- ☐ A fee under 37 C.F.R. §1.17(p) is required because no certification under 37 C.F.R. §1.97(e) has been made.
- ☒ No fee is required because certification under 37 C.F.R. §1.97(e) is noted below.

- ☐ 37 C.F.R. §1.97(d) This Information Disclosure Statement is being filed *after* a final action under §1.113, or a notice of allowance under §1.113, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.
- ☐ A fee under 37 C.F.R. §1.17(p) is required because no certification under 37 C.F.R. §1.97(e) has been made.
- ☐ No fee is required because certification under 37 C.F.R. §1.97(e) is noted below.
- ☒ 37 C.F.R. §1.97(e) I hereby state that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this statement.

Consideration of the listed items is respectfully requested.

Respectfully submitted,

SEAGATE TECHNOLOGY LLC
(Assignee of Entire Interest)



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